

# DECLARATION, POWER OF ATTORNEY, AND PETITION

I, a below named inventor, depose and say that: (1) my residence, citizenship, and mailing address are indicated below; (2) I have reviewed and understand the contents of attached specification, including the claims, as amended by any amendment specifically referred to herein, (3) I believe that the other below named inventors and I are the original, first, and joint inventors or discoverers of the invention or discovery in

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described and claimed therein and for which a patent is sought; and (4) I hereby acknowledge my duty to disclose to the Patent and Trademark Office all information known to me to be material to the patentability as defined in Title 37, Code of Federal Regulations, §1.56.\*

I hereby appoint Gregory D. Allen (Reg. No. 35,048), Alan Ball (Reg. No. 42,286), Scott A. Bardell (Reg. No. 39,594), Carolyn A. Bates (Reg. No. 27,853), Dale A. Bjorkman (Reg. No. 33,084), Colene E. H. Blank (Reg. No. 41,056), Jennie G. Boeder (Reg. No. 28,952), William J. Bond (Reg. No. 32,400), Stephen W. Buckingham (Reg. No. 30,035), John A. Burtis (Reg. No. 39,924), Melissa E. Buss (Reg. No. 47,465), Paul W. Busse (Reg. No. 32,403), Gerald F. Chernivec (Reg. No. 26,537), James D. Christoff (Reg. No. 31,492), Philip Y. Dahl (Reg. No. 36,115), Janice L. Dowdall (Reg. No. 31,201), Lisa M. Fagan (Reg. No. 40,601), Carolyn A. Fischer (Reg. No. 39,091), Yen T. Florczak (Reg. No. 45,163), Darla P. Fonseca (Reg. No. 31,783), Melanie G. Gover (Reg. No. 41,793), Christopher D. Gram, (Reg. No. 43,643), Gary L. Griswold (Reg. No. 25,396), Doreen S. L. Gwin (Reg. No. 35,580), Michaele A. Hakamaki (Reg. No. 40,011), Karl G. Hanson (Reg. No. 32,900), Dean M. Harts (Reg. No. 47,634), Néstor F. Ho (Reg. No. 39,460), Rudolph P. Hofmann, Jr. (Reg. No. 38,187), Jeffrey J. Hohenshell (Reg. No. 34,109), Robert W. Hoke (Reg. No. 29,226), MarySusan Howard (Reg. No. 38,729), Stephen C. Jensen (Reg. No. 35,207), Robert H. Jordan (Reg. No. 31,973), Harold C. Knecht III (Reg. No. 35,576), Kent S. Kokko (Reg. No. 33,931), Douglas B. Little (Reg. No. 28,439), Eloise J. Maki (Reg. No. 33,418), Lisa M. McGeehan (Reg. No. 41,185), Matthew B. McNutt (Reg. No. 39,766), Michelle M. Michel (Reg. No. 33,968), William D. Miller (Reg. No. 37,988), Peter L. Olson (Reg. No. 35,308), Daniel R. Pastirik (Reg. No. 33,025), David B. Patchett (Reg. No. 39,326), Robert J. Pechman (Reg. No. 45,002), Carolyn V. Peters (Reg. No. 33,271), Scott R. Pribnow (Reg. No. 43,869), Ted K. Ringsred (Reg. No. 35,658), Steven E. Skolnick (Reg. No. 33,789), Robert W. Sprague (Reg. No. 30,497), Brian E. Szymanski (Reg. No. 39,523), James J. Trussell (Reg. No. 37,251), Lucy C. Weiss (Reg. No. 32,834), and Kimberly S. Zillig (Reg. No. 46,346) my attorneys and/or agents with full powers (including the powers of appointment, substitution, and revocation) to prosecute this application and any division, continuation, continuation-in-part, reexamination, or reissue thereof, and to transact all business in the Patent and Trademark Office connected therewith; the mailing address and the telephone number of the above-mentioned attorneys and/or agents are

Attention: Jeffrey J. Hohenshell Office of Intellectual Property Counsel 3M Innovative Properties Company P.O. Box 33427 St. Paul, Minnesota 55133-3427 Telephone No. 651-733-1555

The undersigned petitioner declares further that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Wherefore, I pray for grant of Letters Patent for the invention or discovery described and claimed in the attached specification and we hereby subscribe our names to the foregoing specification and claims, declaration, power of attorney, and this petition, on the day set forth below.

Andrew J. Piepp

Residence: Hudson, Wisconsin, U.S.A.

Citizenship: United States of America Post Office P.O. Box 33427

Address St Doub Ming

Address:

St. Paul, Minnesota 55133-3427

Thomas R. Gehring

Date

Mahtomedi, Minnesota, U.S.A. Residence: United States of America Citizenship:

Post Office

P.O. Box 33427

Address:

St. Paul, Minnesota 55133-3427

Michael L.

Residence:

Stillwater, Minnesota, U.S.A. United States of America

Citizenship: Post Office

P.O. Box 33427

Address:

St. Paul, Minnesota 55133-3427

Mark L. Schuleman

Residence:

Mendota Heights, Minnesota, U.S.A.

Citizenship:

United States of America

Post Office

P.O. Box 33427

Address:

St. Paul, Minnesota 55133-3427

Kenneth L. Yarina

Residence:

St. Paul, Minnesota, U.S.A.

Citizenship:

United States of America

Post Office

P.O. Box 33427

Address:

St. Paul, Minnesota 55133-3427



### §1.56 Duty to disclose information material to patentability.

- (a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is cancelled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:
  - (1) prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
- (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and
- (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
  - (2) It refutes, or is inconsistent with, a position the applicant takes in:
    - (i) Opposing an argument of unpatentability relied on by the Office, or
    - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

- (c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:
  - (1) Each inventor named in the application;
  - (2) Each attorney or agent who prepares or prosecutes the application; and
- (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.

Docket No. 56549USA6A.002

PATENT ASSIGNMENT RECORDATIO	N COVER SHEET		
To: The Commissioner for Patents			
Please record the attached original document or copy thereo			
Submission Type:	Conveyance Type:		
New			
Resubmission (Non-Recordation):			
Document ID#			
Correction of PTO Error: Reel # Frame #	Other:		
Corrective Document: Reel # Frame #			
Name of conveying party(ies) Execution Date	Name and address of receiving party(ies):		
(Month/Day/Year)	runno unu uuun oss sa reesarring purej (ees)v		
	3M Innovative Properties Company		
Andrew J. Piepel April 6, 2001	P.O. Box 33427		
Thomas R. Gehring April 6, 2001	St. Paul, Minnesota 55133-3427		
Michael L. Graetz April 6, 2001	Sur Lui, Willinessur Solos S 127		
Mark L. Schuleman April 6, 2001			
Kenneth L. Yarina April 6, 2001			
Additional names of conveying party(ies) attached?			
☐ Yes ☐ No			
Application number or patent number:			
This document is being filed with a new patent application on April 6, 2001			
This document is to be recorded against the following patent application or patent:			
Patent Cooperation Treaty (PCT):			
Enter PCT application number only if a U.S. Application Number has not been assigned:			
Name and address of party to whom correspondence concerning document should be mailed:			
Name: Jeffrey J. Hohenshell, Phone Number: 651-733-1555			
Office of Intellectual Property Counsel			
3M Innovative Properties Company			
P.O. Box 33427			
St. Paul, Minnesota 55133-3427			
Number of Properties:			
Enter the total number of properties involved 1			
Fee Amount:			
Fee Amount for Properties Listed (37 CFR 3.41) X \$40.00			
ree Amount for Froper acts Disted (57 CFR 5.41)			
Method of Payment: $\square$ Charge to Deposit Account No. 13-3723 = \$40.00			
Authorization to charge additional fees: X Yes No			
DO NOT USE THIS SPACE			
Statement and signature			
Statement and signature To the best of my knowledge and belief the foregoing inf	formation is true and correct and any attached conv is a		
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.			
true copy of the original document. Charges to deposit account are authorized, as indicated herein.			
Toffmon I Hohanshall ///// /////////////////////////////			
Jeffrey J. Hohenshell   Printed Name of Attorney/Agent   Signature   Date   Date			
Registration No. 34,109			
Pages:  Total number of pages of the attached conveyance document including any attachments 3			

#### **ASSIGNMENT**

Whereas we, the below named inventors, with residences and citizenships as indicated below; have made an invention in

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and have contemporaneously executed an application for Letters Patent of the United States of America based thereon;

Now, therefore, for good and valuable consideration, receipt of which is acknowledged, we have individually and jointly agreed to assign and transfer and do hereby assign and transfer unto the 3M INNOVATIVE PROPERTIES COMPANY, a wholly owned subsidiary of MINNESOTA MINING AND MANUFACTURING COMPANY, and a corporation of Delaware, having its principal office at Saint Paul, Minnesota, its successors and assigns, the entire right, title, and interest in and to the said invention and application, and in and to any division or continuation (in whole or in part) of said application, and in and to any and all improvements in the said invention made by us or any of us or made jointly with others (provided any such improvement is made during, or within one year after the termination of, the employment by Minnesota Mining and Manufacturing Company and any of its existing or future subsidiaries of whichever of us, solely or jointly with one or more others, has made the same), and in and to any and all Letters Patent, reexaminations, reissues, or extensions thereof, of the United States of America and countries foreign thereto (including the right to apply for Letters Patent, Utility Models, or Inventors' Certificates in foreign countries in its own name and to claim any priority rights for such foreign applications to which such applications are entitled under international conventions, treaties, or otherwise), which have been or may be granted thereon or on any divisional, continuation (in whole or in part), renewal, reexamination, reissue, or other or further application based in whole or in part upon the said invention or improvements thereon, to be held and enjoyed as fully and exclusively as they would have been by us or any of us had this assignment and transfer not been made,

We do further agree for ourselves and for our heirs, executors, and administrators, to execute and deliver without further consideration any further applications, assignments, and documents, and to perform such other acts as we lawfully may, that may be deemed necessary by the said Company, its successors, assigns, and nominees, fully to secure its right, title, and interest as aforesaid and to obtain or maintain Letters Patent, Utility Models, or Inventors' Certificates in any and all countries;

And we do hereby authorize and request the Commissioner of Patents to issue any and all Letters Patent which may be granted upon any of the said applications, to the said 3M Innovative Properties Company, as the assignee of the entire right, title, and interest therein.

Andrew J. Piepel
Residence: Hudson, Wisconsin, U.S.A.
Citizenship: United States of America

On this day of Avoid Months of Avoid Months Months



STATE OF MINNESOTA )

Hursa C. 20
Notary Public

	211	Docket No. 56549USA6A.002
	The KIN-	$\Rightarrow$
	Thomas R. Gehring	
	Residence: Mahtomedi, Minne	
STATE OF MINNESOTA )	Citizenship: United States of A	merica
) ss.		
COUNTY OF RAMSEY )	0001	22.21
On this day	of April	, <u>200</u> before me personally
appeared the above-named Thomas R. described in and who executed the for	Gehring, personally known by me regoing instrument, and who acknown	, and known by me to be the person owledged that said person executed
the same as said person's free act and o	leed, on the day and year aforesaid	l.
BAAAAAAAAA	26.	
THERESA C. TO	Julisa C. Jo	
NOTARY PUBLIC MINNESOTA  My Comm. Expires Jan. 31 2005		Notary Public
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	WW 0. 04 St	9
	Michael L. Graetz	u )
	Residence: Stillwater, Minnes	ota, US.A.
	Citizenship: United States of A	merica
STATE OF MINNESOTA )		
) ss. COUNTY OF RAMSEY )	_	
On this 6th day	of April	, $\mathcal{UO}$ , before me personally
appeared the above-named Michael L	. Graetz, personally known by me	, and known by me to be the person
described in and who executed the fo the same as said person's free act and	regoing instrument, and who ackn deed on the day and year aforesaid	owledged that said person executed.
the same as said persons free det and	acca, on the day and your areresand	-
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THERESA C. TO	Thursa C. 20	)
NOTARY PUBLIC MINNESOTA My Comm. Expires Jan. 31 2005	-	Notary Public
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	Mus Deflude	ma
	Mark L. Schuleman Residence: Mendota Heights,	Minnesota, U.S.A.
	Citizenship: United States of A	
STATE OF MINNESOTA )		•
) ss. COUNTY OF RAMSEY )		
On this 6th day	y of April	, 2001, before me personally
appeared the above-named Mark L. S	chuleman, personally known by me	e, and known by me to be the person
described in and who executed the fo	oregoing instrument, and who ackn	nowledged that said person executed
the same as said person's free act and	deed, on the day and year aforesaid	a.
THERESA C. TO	Theresa C. Ju	9
MOTARY PUBLIC MINNESOTA My Comm. Expires Jan. 31 2005	_ muisi c. de	Notary Public
My Comin. Express Jan. 31 2000 \$		, ,

Docket No. 56549USA6A.002 Kenneth L. Yarina Residence: St. Paul, Minnesota, U.S.A. Citizenship: United States of America STATE OF MINNESOTA ) ) ss. COUNTY OF RAMSEY \_ day of April On this 6th \_\_\_\_\_, <u>2001</u>, before me personally appeared the above-named Kenneth L. Yarina, personally known by me, and known by me to be the person described in and who executed the foregoing instrument, and who acknowledged that said person executed the same as said person's free act and deed, on the day and year aforesaid. THERESA C. TO THERESA C. TO
NOTARY PUBLIC MINNESOTA
My Comm. Expires Jan. 31 2005 Musa C. 20 Notary Public